

		Form: Annual Research Conflict of Interest Disclosure		
		DOCUMENT NUMBER	FRA FORM VERSION DATE	PAGE
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Name		Department/Specialty		
Work Phone		Email		
This form is to be completed annually by all investigators and research staff and submitted through IRBNet . This form will be reviewed and retained in accordance with the Conflicts of Interest in Research Policy (Policy #1703.02) .				
Declaration of No Interests in External Entities				
<input type="checkbox"/>	No one in my household has any ownership, equity interest, financial support, property, or relationships with an entity outside of the Franciscan Alliance which may be perceived to create a conflict of interest for the human subjects research in which I am engaged in under the Franciscan Health Federalwide Assurance. (If this box is selected, skip to “Certification”).			
General Disclosure of Interests in an External Entity				
Check all of the applicable boxes that relate to your present <u>and</u> past relationships (for the previous 12 months).				
Private Companies (i.e., Not Publicly Traded)				
<input type="checkbox"/>	Someone in my household holds (or has held in the past 12 months) an <u>equity interest, regardless of the value of the equity interest</u> , of a non-publicly traded entity outside of the Franciscan Alliance.			
<input type="checkbox"/>	Someone in my household received (or has received in the past 12 months) <u>financial support of \$5,000 or more (aggregate for a 12-month period)</u> from a non-publicly traded entity outside of the Franciscan Alliance. <i>Note: This <u>does</u> include speaker fees, honoraria, paid authorship, etc., paid directly to you/your household; this <u>does not</u> include travel payment and/or reimbursement.</i>			
<input type="checkbox"/>	Someone in my household holds (or has held in the past 12 months) <u>intellectual property rights or benefits</u> with a non-publicly traded entity outside of the Franciscan Alliance.			
Publicly-Traded Companies				
<input type="checkbox"/>	Someone in my household has (or has had in the past 12 months) a significant financial interest in a publicly traded company; this is defined as a combined total of \$5,000 or more in equity interest (i.e., stock) and financial support (aggregate for a 12-month period) from a publicly-traded entity outside of the Franciscan Alliance. <i>Note: This <u>does</u> include speaker fees, honoraria, paid authorship, etc., paid directly to you/your household; this <u>does not</u> include travel payment and/or reimbursement.</i>			
<input type="checkbox"/>	Someone in my household holds (or has held in the past 12 months) <u>intellectual property rights or benefits</u> with a publicly traded entity outside of the Franciscan Alliance.			
Other				
<input type="checkbox"/>	Someone in my household received (or has received in the past 12 months) <u>sponsored or reimbursed travel expenses related to my institutional responsibilities, regardless of the value of the travel</u> from an entity outside of the Franciscan Alliance. <i>Note: This <u>does</u> includes pre-payment of travel by the external agency (based on fair market value estimates) and reimbursement of out-of-pocket travel expenses paid directly to you/your household for speaking engagements, training, etc., including travel to Research Investigator Meetings.</i>			
<input type="checkbox"/>	Someone in my household receives (or has received in the past 12 months) <u>financial support related to human subjects research, regardless of the amount of compensation pledged or received</u> from an entity outside of the Franciscan Alliance. <i>Note: This <u>does</u> include incentive payments, bonus payments, or finders’ fees; this <u>does not</u> include reimbursement of your salary expenses or professional medical services for your participation in the conduct of the research.</i>			
<input type="checkbox"/>	Someone in my household holds (or has held in the past 12 months) a <u>management role (paid or unpaid) which allows for decision-making authority</u> in an entity outside of the Franciscan Alliance. <i>Note: This <u>does</u> includes administrative, scientific, or technical appointments.</i>			
Declaration of Disclosure of Interests in an External Entity				
For all of the boxes selected above (“General Disclosure of Interests in an External Entity”), detail the nature of the interest disclosed. Requested details include: the type of external entity (public/government, for-profit, non-profit); business of the external entity; aggregate amount of compensation or fair market value of ownership/interest; details of travel (i.e., destination, method of travel; number of nights’ lodging); a description of the potential for conflict and any mitigating/limiting factors of the relationship. FRA reserves the right to request additional details.				
Certification				
I certify that all of the information contained in this document is accurate and I will update promptly if my circumstances change.				
Signature		Date		